# Constitution and Absolute Configuration of Austdiol, the Main Toxic Metabolite from Aspergil/us ustus 

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#### Abstract

The fungal metabolite austdiol, elaborated by Aspergillus ustus, has been identified as ( $7 R, 8 S$ )-7,8-dihydro-7,8-dihydroxy-3,7-dimethyl-6-oxo-6H-2-benzopyran-5-carbaldehyde. The absolute configuration was deduced by the ' partial resolution ' method of Horeau.


Strains of Aspergillus ustus (Bainier) Thom. and Church were isolated from stored foodstuffs in the course of our continuing search for toxigenic fungi. Maize meal cultures of $A$. ustus (C.S.I.R. 1128) were found to cause acute toxicoses in day-old ducklings. The toxic principles were quantitatively extracted from the mouldy maize meal and systematic fractionation, guided by bioassay, led to the isolation of five biogenetically related dioxopiperazines and the major active component. ${ }^{1}$ We now report the detailed structural and stereochemical characterization of the major toxin, designated austdiol.

Austdiol (I), a gastro-intestinal toxin ${ }^{2}$ was obtained as optically active yellow needles. Mass spectrometry defined the molecular formula as $\mathrm{C}_{12} \mathrm{H}_{12} \mathrm{O}_{5}$, in agreement with the elemental analysis. The u.v. spectrum $\left[\lambda_{\text {max. }}\right.$ (EtOH) 256 and $376 \mathrm{~nm}(\log \varepsilon 4 \cdot 18$ and $4 \cdot 38)$ ] indicated the presence of an extended conjugated system, and was unaffected by addition of base; however prolonged treatment with base led to extensive degradation. The i.r. spectrum showed $\nu_{\max } 3370(\mathrm{OH}), 3460(\mathrm{OH}), 3100$ $(\mathrm{C}=\mathrm{C}$ ), 1670w (aldehyde CO), 1620 (conj. CO), and 1600 $\mathrm{cm}^{-1}(\mathrm{C}=\mathrm{C})$. The n.m.r. spectrum (Table 1) is in good agreement with the proposed structure (I). The chemical shifts correspond closely with those reported for similar compounds, e.g. mitorubrin (II), ${ }^{3}$ with the exception of that of the 4-proton. The low-field position this of signal may be attributed to the deshielding effect of the aldehyde carbonyl group which, for steric and electronic reasons, is apparently aligned in the plane of the ring system and oriented towards the 4 -proton.

The presence of an aldehyde function was confirmed by
${ }^{1}$ P. S. Steyn, Tetrahedron, 1973, 29, 107.
${ }^{2}$ S. J. van Rensburg, personal communication.
the ready formation of a dark red 2,4-dinitrophenylhydrazone [ $\tau 1.00(\mathrm{CH}=\mathrm{N})$ ].

Chemical evidence for the presence of two hydroxygroups was provided by acetylation, to yield either a monoacetate (III) or a diacetate (IV). Austdiol did not react with ethereal diazomethane, and since the chromophore is unaffected by acetylation, the hydroxy-groups must be non-phenolic. The n.m.r. signal for the 8 -proton (see Table 1) suffers a marked downfield shift upon each acetylation. This shift seems to indicate that the two hydroxy-groups are in a trans-vicinal arrangement, in agreement with the finding that austdiol is inert towards periodate. The trans disposition of the vic-diol would also explain the fact that no acetonide derivative of austdiol could be prepared. Instead when austdiol was treated with acetone in the presence of perchloric acid, a condensation product, $\mathrm{C}_{15} \mathrm{H}_{16} \mathrm{O}_{5}$, was isolated. This was assigned structure (V) on the basis of spectroscopic evidence. The n.m.r. spectrum showed the presence of $\mathrm{D}_{2} \mathrm{O}$-exchangeable protons at $\tau$ ca. 4.8 and the absence of the aldehyde proton. The singlet at $\tau 7 \cdot 78(3 \mathrm{H})$ was assigned to the methyl group of the acetyl residue and that at $\tau 2.26(2 \mathrm{H})$ to the olefinic protons of the newlyformed double bond. Upon addition of $\mathrm{D}_{2} \mathrm{O}$ the trans olefinic proton signals appeared as an AB system [ $\tau 2.22(1 \mathrm{H})$ and $2.30(1 \mathrm{H}), J_{\mathrm{AB}} 16.0 \mathrm{~Hz}$ ].

Hydrogenation of austdiol diacetate (IV) in methanol over palladium-charcoal gave the trimethyl compound (VI), $\mathrm{C}_{16} \mathrm{H}_{18} \mathrm{O}_{6}$. The n.m.r. spectrum showed the absence of the aldehyde proton signal, and the singlet at $\tau 8.23(3 H)$ was assigned to the newly-formed 5 -methyl
${ }^{3}$ G. Büchi, J. D. White, and G. N. Wogan, J. Amer. Chem. Soc., 1965, 87, 3484.
group. The 4 -proton signal appeared at $\tau 4 \cdot 02$; $c f$. $\tau 1.66$ in austdiol diacetate (IV); this marked upfield shift is readily explained by the absence of deshielding due to the diamagnetic anisotropy of the aldehyde group.

(I) $R^{1}=R^{2}=H$
(III) $R^{1}=H, R^{2}=A C$
(IV) $R^{1}=R^{2}=A c$

(V)

(VII)



(YIII)

The change in conjugation is evident from the bathochromic shift of the long wavelength u.v. band from 376 in (IV) to 354 nm in the hydrogenation product (VI).

At this stage of the structural elucidation it was not clear whether the aldehyde group was at C-3 or C-5. [The location of a vinylic methyl group at C-3 was established through hydrogenation of (IV) to yield (X), which contained a secondary methyl group on an oxygenbearing carbon atom (see later).] Hydrogenation of austdiol diacetate (IV) in ethyl acetate over platinum oxide yielded, as one of the products, a yellow optically inactive compound. Mass spectrometry indicated a molecular formula of $\mathrm{C}_{12} \mathrm{H}_{12} \mathrm{O}_{3}$ and structure (VII) was assigned, with which the n.m.r. spectrum is fully compatible. The singlet at $\tau-0.26$ was assigned to the aldehyde proton, and that at -1.98 ( 1 H , exchangeable) is characteristic of an intramolecular hydrogen-bonded phenolic proton. [The i.r. spectrum showed a band at $1620 \mathrm{~cm}^{-1}$ (chelated CO ) and a green colour was obtained with ethanolic iron(III) chloride.] The two-proton singlet at $\tau 5.06$ is ascribed to the equivalent benzylic protons, and the two singlets at $\tau 3.02(1 \mathrm{H})$ and $\tau 3.86$
$(1 \mathrm{H})$, which exhibit a slight splitting as a result of longrange coupling ( $J_{4.8} 0.5 \mathrm{~Hz}$ ), are assigned to the 8 - and 4protons, respectively. The two high-field singlets at $\tau 7.84(3 \mathrm{H})$ and $8.02(3 \mathrm{H})$ are assigned to the 3 - and 7 methyl groups. The formation of compound (VII) can be envisaged as a reductive aromatization with the simultaneous expulsion of two molecules of acetic acid. ${ }^{4}$

The reaction of austdiol with either pyridinium hydrobromide perbromide or bromine (evidence from t.l.c. on silica) in acetic acid gave a monobromo-derivative (VIII), $\mathrm{C}_{11} \mathrm{H}_{11} \mathrm{BrO}_{4}$. The i.r. spectrum lacked an aldehyde CO band. The n.m.r. spectrum was similar to that of austdiol and assignments are summarized in Table 1. Hydrogenation of (VIII) over platinum dioxide in acetic acid (uptake ca. 2.5 mmol ) yielded a complex mixture from which some starting material and a debromination product were isolated. The latter, $\mathrm{C}_{11} \mathrm{H}_{12} \mathrm{O}_{4}$, was assigned structure (IX). Comparison of the n.m.r. spectrum (Table 1) with that of mitorubrin (II) (Table 1)



(X)

(XII)

(XIII)
provides support for its interpretation. The large difference in chemical shifts of the 5 -proton ( $\tau 4.66$ ) and the 1-proton ( $\tau 2.58$ ) reflects their different molecular environments; the latter is deshielded by the adjacent oxygen atom whereas the former can be shielded by delocalization of the non-bonding electrons of the pyrone oxygen atom. This difference will be maximal in the charge-separated resonance form (IXa), in which the 1 proton lies in the plane of the pyrylium ring.

The foregoing results firmly established the position of the aldehyde group at C-5 in austdiol. The positions of the vic-diol system and the tertiary methyl group were proved as follows. Hydrogenation of austdiol diacetate (IV) in acetic acid over platinum dioxide gave mainly two
${ }^{4}$ E. J. Haws, J. S. E. Holker, A. Kelly, A. D. G. Powell, and A. Robertson, J. Chem. Soc., 1959, 3598.
compounds. The major compound, $\mathrm{C}_{16} \mathrm{H}_{24} \mathrm{O}_{4}$, was assigned structure ( X ) ${ }^{{ }_{\text {max. }}} 1745$ (acetate CO ) and 1730 $\mathrm{cm}^{-1}$ (six-membered ring CO)]. The c.d. spectrum showed a ketone Cotton effect at $286 \mathrm{~nm}(\Delta \varepsilon+1 \cdot 57)$. The n.m.r. spectrum (Table 2) shows two $O$-acetyl singlets $[\tau 7.93(3 \mathrm{H})$ and $8.00(3 \mathrm{H})]$ and the singlet at $\tau 8.60(3 \mathrm{H})$ is assigned to the 7 -methyl group. The 5 -methyl signal
part of the ABX system, is obscured at $\tau c a .7 \cdot 8$. The foregoing assignments were confirmed by spin-spin decoupling experiments.
Saponification of the O -acetate functions in ( X ) gave the diol (XI), $M^{+} 228$. The n.m.r. spectrum of this compound (Table 2) showed a singlet at $\tau \mathbf{8 . 6 4 ( 3 H ) \text { , assigned }}$ to the tertiary 7-methyl group. The methine proton at

Table 1
Chemical shifts ( $\tau$ ) and multiplicity * in the n.m.r. spectral of austdiol and derivatives

|  | 1-H | $3-\mathrm{Me}$ | 4-H | 5-CHO | $5-\mathrm{Me}$ | 5-H | $7-\mathrm{Me}$ | 7-OAc | $7-\mathrm{OH}$ | $8-\mathrm{OH}$ | 8-OAc | 8-H |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Austdiol (I) $\dagger$ | 1-76(d) $\ddagger$ | 7.93 | 1.58 | $-0.67$ |  |  | 8.54 |  | $5 \cdot 28$ | $3 \cdot 66$ |  | 5.05(d) $\ddagger$ |
| Mitorubrin (II) | 1.75 (d) § |  | $3 \cdot 43$ |  |  | $4 \cdot 39$ (d)§ | 8.33 |  |  |  |  |  |
| Austdiol monoacetate (III) | $2 \cdot 40$ (d) ${ }_{+}$ | $7 \cdot 63$ | $1 \cdot 62$ | -0.16 |  |  | $8 \cdot 78$ |  | 6.45 |  | 7.78 | 4.08(d) $\ddagger$ |
| Austdiol diacetate (IV) | 2-34(d) $\dagger$ | $7 \cdot 66$ | $1 \cdot 66$ | -0.19 |  |  | $8 \cdot 63$ | $7 \cdot 99$ |  |  | $7 \cdot 83$ | 3-32(d) $\ddagger$ |
| (VI) | $3 \cdot 16$ (d) $\ddagger$ | 7.89 7.74 | 4.02 3.50 |  | $8 \cdot 23$ |  | 8.70 8.80 | $7 \cdot 99$ |  |  | $7 \cdot 84$ | $3 \cdot 29(\mathrm{~d}) \ddagger$ $5 \cdot 32(\mathrm{~d} \dagger$ |
| (VIII) | 2.51 (d) $\ddagger$ | $7 \cdot 74$ | $3 \cdot 50$ |  |  |  | $8 \cdot 80$ |  | $5 \cdot 92$ | 5.62 |  | $5 \cdot 32(\mathrm{~d})+$ |
| (IX) | 2.58(q) $\ddagger$ § | $7 \cdot 82$ | $4 \cdot 00$ |  |  | 4-66(d)§ | $8 \cdot 78$ |  | $6 \cdot 39$ |  |  | $5 \cdot 37(\mathrm{~d}) \ddagger$ |
| * Unless otherwise indicated all signals are singlets. $\dagger$ In [ $\left.{ }^{2} \mathrm{H}_{5}\right]$ ]pyridine. $\quad \ddagger J_{1.8} \mathbf{2 . 0} \mathrm{~Hz} . \quad \S J_{1.5} 1 \cdot 0 \mathrm{~Hz}$. |  |  |  |  |  |  |  |  |  |  |  |  |

Table 2
Chemical shifts ( $\tau$ ) and multiplicity ( $J$ in Hz ) in the n.m.r. spectra of the hydrogenation products of austdiol

|  | $1 a x-H$ | $1 e q-\mathrm{H}$ | $3-\mathrm{Me}$ | $3-\mathrm{H}$ | $4-\mathrm{H}$ | $5-\mathrm{Me}$ | 5-H |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| (X) | $6 \cdot 51$ (dd) | 6.14(dd) | 8.82(d) | ca. $6.6(\mathrm{~m})$ | Obscured | 8.92(d) | 7-28(m) |
|  | $J_{\text {gem }} 12 \cdot 0$ | $J$ gem $12 \cdot 0$ | $J 6.5$ |  |  | $J 6.5$ | $J 6 \cdot 5$ |
|  | $J_{1 a x .80} 2 \cdot 1$ | $J_{12 q .8 \mathrm{sa}} 1.5$ |  |  |  |  | $J_{4 \mathrm{a} .5} 5 \cdot 5$ |
| (XI) | 6.52(dd) | $5 \cdot 66$ (dd) | 8.86(d) | 6.60(m) | ca. $8 \cdot 6(\mathrm{~m})$ | 8.97(d) | $6 \cdot 97(\mathrm{~m})$ |
|  |  | $J_{\text {gem }} 11.5$ | $J 6.5$ | $J 6 \cdot 5$ | obscured | $J 6.5$ | $J 6.5$ |
|  | $J_{1 a x, \mathrm{Ba}} 2 \cdot 5$ | $J_{1 \text { eq. Ba }} 1.5$ |  | $\begin{array}{ll} J_{3.4 a x} & 12 \cdot 0 \\ J_{34.0 Q} & 2 \cdot 5 \end{array}$ |  |  | $J_{4 a .5} 5 \cdot 5$ |
| (XII) | $6 \cdot 52(\mathrm{dd})$ | 5.66(dd) | 8.86(d) | $6.60(\mathrm{~m})$ | ca. $8 \cdot 6(\mathrm{~m})$ | 8.97(s) |  |
|  | $J_{\text {gem }} 11 \cdot 5$ | $J_{\text {gem }} 11.5$ | $J 6.5$ | $J 6.5$ | obscured |  |  |
|  | $J_{1 a x, 8 \mathrm{~A}} 2 \cdot 5$ | $J_{1 \text { eq. } 8 \mathrm{~m}} 1.5$ |  | $J_{3.4 a x} 12 \cdot 0$ |  |  |  |
| (XIII) | 6.62(dd) | 6.08(dd) | 8.83(d) | ca. $3.40 q \cdot 7(\mathrm{~m})$ | Obscured | 9.11(d) | Obscured |
|  | $J$ eme $12 \cdot 0$ | $J$ oom $12 \cdot 0$ | $J 6.5$ |  |  | $J 6 \cdot 5$ |  |
|  | $J_{14 x, 8 \mathrm{~s}} 2 \cdot 5$ | $J_{\text {1eg.84, }} 1 \cdot 5$ |  |  |  |  |  |
|  | 6-H | $7-\mathrm{Me}$ | 7-OAc | $8-\mathrm{OAc}$ | $8-\mathrm{H}$ | 8a-H | 4a-H |
| (X) |  | 8.60(s) | 7.93(s) | 8.00(s) | 3-77(d) | ca. $7 \cdot 78(\mathrm{~m})$ | Obscured |
|  |  |  |  |  | $J_{8.8 \mathrm{sa}} 11.5$ |  |  |
| (XI) |  | 8.64(s) |  |  | $\begin{gathered} 6.07(\mathrm{~d}) \\ J_{8,8 \mathrm{a}} 11 \cdot 0 \end{gathered}$ | $\begin{gathered} 8 \cdot 20(\mathrm{~m}) \\ J_{8, \mathrm{sa}} 11 \cdot 0 \end{gathered}$ | $\begin{gathered} 7 \cdot 74(\mathrm{~m}) \\ J_{4 a x, 4 \mathrm{a}} 14 \cdot 0 \end{gathered}$ |
|  |  |  |  |  |  | $\begin{array}{lll}J 8.8 \mathrm{am} & 1 \\ J .0\end{array}$ |  |
|  |  |  |  |  |  | $J_{1 a x, 8 \mathrm{a}} 2 \cdot 5$ | $J_{4 \mathrm{ab}} \mathrm{8a} 5 \cdot 0$ |
|  |  |  |  |  |  | $J_{1 \text { eq. } \mathrm{sa}} 1 \cdot 5$ | $J_{4 \times q .4 a} 5 \cdot 0$ |
| (XII) |  | 8.64(s) |  |  | $6 \cdot 07(\mathrm{~d})$ | $8 \cdot 20(\mathrm{~m})$ | $7 \cdot 74(\mathrm{~m})$ |
|  |  |  |  |  | $J_{8.8,} 11^{\prime} \cdot 0$ | $\begin{array}{cc}J_{8.89} & 11.0 \\ J_{48} 5 \cdot 0\end{array}$ | $J_{\text {Sax.42 }} 14.0$ |
|  |  |  |  |  |  |  |  |
|  |  |  |  |  |  | $J_{\text {leg.sa }} 1.5$ |  |
| (XIII) | $\begin{aligned} & 5 \cdot 00(\mathrm{~d}) \\ & J_{5.8} 3 \cdot 0 \end{aligned}$ | 8.76(s) | 7-87(s) | $7 \cdot 91$ (s) | $\begin{gathered} 4 \cdot 59(\mathrm{~d}) \\ J_{8,8 \mathrm{a}} 11 \cdot 5 \end{gathered}$ | Obscured | Obscured |

appears as a doublet at $\tau 8.92(J 6.5 \mathrm{~Hz})$ owing to coupling with the 5 -proton. The signal for the latter appears as a quintet, $\tau 7.28\left(1 \mathrm{H}, J 6.5, J_{4 \mathrm{a} .5} 5 \cdot 5\right)$. The 3 -methyl signal appears as a doublet, $\tau 8.82(J 6.5 \mathrm{~Hz})$, owing to coupling with the $\mathrm{C}-3$ methine proton, which appears as a partly obscured multiplet at $\tau 6.6$. The 8 -proton appears as a doublet at $\tau 3.77\left(J_{8,8 \mathrm{a}} 11.5 \mathrm{~Hz}\right)$. The magnitude of $J_{8.8 \mathrm{a}}$ indicates a trans diaxial configuration for the 8 - and 8a-protons. The methylene protons at C-1 appear as the AB part of an ABX system at $\tau 6.14$ ( 1 eq-H, $J_{g e m} 12.0, J_{1 e q, 8 a} 1.5 \mathrm{~Hz}$ ) and 6.51 (lax-H, $J_{g e m}$ $12 \cdot 0, J_{1 a x, 8 a} 2 \cdot 1 \mathrm{~Hz}$ ). The signal of the 8 a-proton, the X

C-8 appeared as a doublet, $\tau 6.07\left(J_{8.8 a} 11.0 \mathrm{~Hz}\right)$ and the $8 \mathrm{a}-$ proton as a broad complex doublet at $\tau 8.20\left(J_{8.8 \mathrm{a}} 11 \cdot 0\right.$, $\left.J_{4 \mathrm{az} .8 \mathrm{a}} 5.0, J_{1 a x .8 \mathrm{a}} 2.5, J_{\text {1eq.8a }} 1.5 \mathrm{~Hz}\right)$. The methylene protons at $\mathrm{C}-1$ appeared as the AB part of an ABX system, $\tau 5.66\left(1 \mathrm{eq-H}, J_{\text {gem }} 11.5, J_{1 e q .8 \mathrm{sa}} 1.5 \mathrm{~Hz}\right)$ and 6.52 (lax-H, $J_{g e m} 11.5, J_{1 a x .8 \mathrm{a}} 2.5 \mathrm{~Hz}$ ). A pair of quartets at $\tau 7.74\left(J_{4 a x .4 \mathrm{a}} 14 \cdot 0, J_{4 \mathrm{a} .5} 5 \cdot 5, J_{4 \mathrm{a} .8 \mathrm{a}} 5 \cdot 0, J_{4 e q .4 \mathrm{a}} 5 \cdot 0 \mathrm{~Hz}\right)$ was assigned to the 4 a -proton. The 5 -methyl signal appeared as a doublet at $\tau 8.97(J 6.5 \mathrm{~Hz})$ and that of the 5 -proton as a quintet, $\tau 6.97\left(J 6.5, J_{4 \mathrm{a} .5} 5.5 \mathrm{~Hz}\right)$. The doublet at $\tau 8.86(3 \mathrm{H}, J 6.5 \mathrm{~Hz})$ was assigned to the 3 -methyl group. The 3 -proton signal appeared as a multiplet, $\tau 6.60$
( $J_{3,4 a x} 12 \cdot 0, J 6.5, J_{3,4 e q} 2.5 \mathrm{~Hz}$ ), partly obscured by the signal assigned to one of the C-1 methylene protons. The C-4 methylene signal appeared as a complex obscured multiplet at $\tau c a .8 \cdot 6$.

Irradiation at the centre of the resonance frequency of the 8 -proton ( $\tau 6.07$ ) resulted in the collapse of the broad complex doublet at $\tau 8.20(8 \mathrm{a}-\mathrm{H})$ to a broad multiplet $\left(J_{4 \mathrm{a} .8 \mathrm{a}} 5.0, J_{1 a x .8 \mathrm{a}} 2.5, J_{1 e q .8 \mathrm{a}} 1.5 \mathrm{~Hz}\right.$ ). Similar irradiation at the frequency of the 8a-proton resulted in the collapse of the $8-\mathrm{H}$ doublet to a singlet and the disappearance of the fine splitting in the signals assigned to the l-protons.

Base-catalysed deuterium exchange of compound (XI) gave the deuterio-compound (XII), $M^{+} 229$, indicating incorporation of one deuterium atom. This result agrees with the structures assigned to compounds (XI) and (X) and thus with the structure (I) for austdiol. The n.m.r spectrum of (XII) (see Table 2) lacks the quintet at $\tau 6.97$ $(1 \mathrm{H})$ which was assigned to the 5 -proton. The 5 -methyl signal appears as a singlet at $\tau 8.97$ and the 4 a-proton signal as a pair of triplets, $\tau 7.74\left(J_{4 a x .4 \mathrm{a}} 14 \cdot 0, J_{4 \mathrm{a} .8 \mathrm{a}} 5 \cdot 0\right.$, $J_{4 e q .4 \mathrm{a}} 5 \cdot 0 \mathrm{~Hz}$ ).

The minor compound isolated from the hydrogenation reaction ( $\mathrm{C}_{16} \mathrm{H}_{26} \mathrm{O}_{6}$ ) was assigned structure (XIII).

The relative configurations of compounds (X) and (XI) could be deduced from the torsion angles obtained from the coupling constants through application of the Karplus equation. ${ }^{5}$ As the absolute configuration of austdiol has been deduced (see later), the structures represent the absolute configurations. The conformation of (X) is shown in the Figure.


Proposed conformation of compound (X)
Absolute Configuration of Austdiol.-The absolute configuration of the 8 -hydroxy-group was determined by the ' partial resolution' method of Horeau. ${ }^{6}$ Esterification of austdiol with racemic $\alpha$-phenylbutyric acid anhydride proceeded smoothly, leading quantitatively to the 8-O-$\alpha$-phenylbutyrate. The mass spectrum of the ester ( $M^{+}$ 382 ) indicated the absence of diester. The recovered $\alpha$-phenylbutyric acid had $[\alpha]_{\mathrm{D}}{ }^{23}-7 \cdot 3^{\circ}$ ( $c 5 \cdot 94$ in benzene). Austdiol must therefore have the $8 S$-configuration. ${ }^{6-8}$ As it has previously been established that the vic-diol system in austdiol is trans, the chiral centre at C-7 must have the $R$-configuration. Verification of these assignments by $X$-ray crystallography of compound (VIII) is in progresss.

Austdiol is structurally related to a class of compounds known generically as azaphilones, e.g. mitorubrin (II).

[^0]The name azaphilone is derived from the ready reaction of these metabolites with ammonia to yield vinylogous $\gamma$-pyridones. Treatment of austdiol with ammonia, however, leads to extensive decomposition. Attempts to oxidize the 8 -hydroxy-group in austdiol with a variety of oxidizing reagents in the hope of obtaining a azaphilone structure all proved abortive.

The assigned structure (I) for austdiol is biogenetically feasible; the molecule can be considered as a straight chain pentaketide formally alkylated at two methylene positions to give the aldehyde group at C-5 and the methyl group at C-7.

## EXPERIMENTAL

M.p.s were determined on a Kofler hot-stage apparatus. U.v. absorptions were measured for solutions in ethanol (Unicam SP 800 spectrometer). I.r. spectra were recorded on a Perkin-Elmer 237 spectrometer. Mass spectra were taken on an A.E.I. MS9 double-focussing spectrometer. N.m.r. spectra were recorded for solutions in $\mathrm{CDCl}_{3}$ on a Varian HA-100 spectrometer with tetramethylsilane as internal standard. T.l.c. was carried out on Merck precoated silica plates (thicknesses 0.25 and 2 mm for analytical and preparative separations, respectively).
Isolation of Austdiol.-A. ustus was grown in bulk on wet sterilized maize meal for 20 days. The dried, milled, mouldy maize ( 6.3 kg ) was extracted with chloroform-methanol (1:1 $\mathrm{v} / \mathrm{v}$ ) for 2 days and the solvent was evaporated off under reduced pressure. The solid residue was treated with chloroform (21) and the mixture was filtered to separate soluble material ( 470 g ) and an insoluble homogeneous crystalline material ( 270 g ).
The latter, austdiol (7,8-dihydro-7,8-dihydroxy-3,7-di-methyl-6-oxo-6H-2-benzopyran-5-carbaldehyde)(I),represented the main toxic component of the fungal culture and had m.p. $255^{\circ}$ (decomp); $[\alpha]_{\mathrm{D}}{ }^{26}+160 \cdot 3^{\circ}$ (c 1.25 in pyridine); $\lambda_{\text {max }} 256$ and $376 \mathrm{~nm}(\log \varepsilon 4-18$ and $4 \cdot 38)$; $\nu_{\text {max }}(\mathrm{KBr}) 3370$ $(\mathrm{OH}), 3460(\mathrm{OH}), 3100(\mathrm{C}=\mathrm{C}), 1670 \mathrm{w}$ (aldehyde CO ), 1620 (conjugated CO ), and $1600 \mathrm{~cm}^{-1}(\mathrm{C}=\mathrm{C})$ (Found: $\mathrm{C}, 60 \cdot 85$; $\mathrm{H}, 4.95 \%$; $M^{+}, 236.0660 . \mathrm{C}_{12} \mathrm{H}_{12} \mathrm{O}_{5}$ requires C, $61.0 ; \mathrm{H}$, 5-1; $M, 236 \cdot 0685$ ).

Austdiol 2,4-dinitrophenylhydrazone had m.p. $310^{\circ}$ (decomp.) (from methanol); $\lambda_{\text {max }} 270,298,372$, and 458 nm $(\log \varepsilon 4 \cdot 06,3.82,4.25$, and 4.36$) ; \nu_{\text {max }}(\mathrm{KBr}) 1610 \mathrm{~cm}^{-1}$; $\tau\left(\left[{ }^{2} \mathrm{H}_{5}\right]\right.$ pyridine) $8 \cdot 42(3 \mathrm{H}, \mathrm{s}, 7-\mathrm{Me}), 7 \cdot 68(3 \mathrm{H}, \mathrm{s}, 3-\mathrm{Me}), 4 \cdot 94$ ( $1 \mathrm{H}, \mathrm{d}, J_{1.8} 2.0 \mathrm{~Hz}, 8-\mathrm{H}$ ), $2.01(1 \mathrm{H}, \mathrm{s}, 4-\mathrm{H}), 1.86\left(1 \mathrm{H}, \mathrm{d}, J_{1.8}\right.$ $2.0 \mathrm{~Hz}, \mathrm{l}-\mathrm{H}), 1.00(1 \mathrm{H}, \mathrm{s}, \mathrm{HC}=\mathrm{N}), 3 \cdot 2 \mathrm{br}\left(\mathrm{D}_{2} \mathrm{O}\right.$-exchangeable), and $2.15\left(1 \mathrm{H}, \mathrm{d}, J_{\text {ortho }} 9.0 \mathrm{~Hz}\right), 1.63\left(\mathrm{lH}, \mathrm{dd}, J_{\text {ortho }} 9.0\right.$, $J_{\text {meta }} 3.0 \mathrm{~Hz}$ ), and $0.93\left(1 \mathrm{H}, \mathrm{d}, J_{\text {meta }} 3.0 \mathrm{~Hz}\right.$ ) (aromatic protons) (Found: N, 13.45. $\quad \mathrm{C}_{18} \mathrm{H}_{16} \mathrm{~N}_{4} \mathrm{O}_{8}$ requires $\mathrm{N}, 13 \cdot 2 \%$ ).
Acetylation of Austdiol.-(a) A mixture of austdiol (100 mg ) and anhydrous sodium acetate ( 50 mg ) in acetic anhydride ( 10 ml ) was stirred at room temperature for 15 min to give the unstable monoacetate (III) ( 90 mg ) , $M^{+} 278$ $\left(\mathrm{C}_{14} \mathrm{H}_{14} \mathrm{O}_{6}\right)$.
(b) Austdiol ( 500 mg ) in acetic anhydride ( 125 ml ) at $-70^{\circ}$ was treated with perchloric acid ( $70 \% ; 0 \cdot 1 \mathrm{ml}$ ). The solution was allowed to attain room temperature and poured on ice. Standard work-up and crystallization from benzene-n-hexane gave austdiol diacetate (IV) ( 415 mg ) as
${ }^{7}$ A. Horeau and J. K. Sutherland, J. Chem. Soc. (C), 1966, 247.
${ }_{8}$ W. Herz and H. B. Kagan, J. Org. Chem., 1967, 32, 216.
small yellow needles, m.p. 238-239 ${ }^{\circ}$ (decomp.); $[\alpha]_{\mathrm{D}}{ }^{22}$ $+31^{\circ}\left(c 1 \cdot 20\right.$ in $\left.\mathrm{CHCl}_{3}\right) ; \lambda_{\text {max. }} 254$ and $375 \mathrm{~nm}(\log \varepsilon 4 \cdot 18$ and $4 \cdot 37$ ); $\nu_{\text {max. }}(\mathrm{KBr}) 1745$ (acetate CO), 1680 w (aldehyde CO ), and $1630 \mathrm{~cm}^{-1}$ (Found: C, 59.8; H,5.1. $\mathrm{C}_{16} \mathrm{H}_{16} \mathrm{O}_{7}$ requires $\mathrm{C}, 60.0 ; \mathrm{H}, 5.05 \%$ ).

Reaction of A ustdiol with Acetone.—Austdiol ( 500 mg ) in acetone ( 300 ml ) was treated with perchloric acid ( $70 \%$; 0.2 ml ). The acetone was evaporated off after 24 h and the solid residue was dissolved in chloroform. The solution was washed with water, dried $\left(\mathrm{Na}_{2} \mathrm{SO}_{4}\right)$, and evaporated. The residue was purified by column chromatography on alumina with chloroform-methanol ( $9: 1 \mathrm{v} / \mathrm{v}$ ) as eluant and crystallized from benzene to give 7,8-dihydro-7,8-dihydroxy-3,7-dimethyl-5-(3-oxobut-1-enyl)-2-benzopyran-6-one (V) $(450 \mathrm{mg})$, m.p. $141-142^{\circ},[\alpha]_{\mathrm{D}}{ }^{22}+272^{\circ}(\mathrm{c} 1 \cdot 15$ in pyridine $) ; \lambda_{\max } 254$ and $400 \mathrm{~nm}(\log \varepsilon 4.02$ and 4.27$)$; $\nu_{\max }\left(\mathrm{CHCl}_{3}\right) 3580(\mathrm{OH})$, $3380 \mathrm{br}(\mathrm{OH}), 1665$ (conj. CO), and $1620 \mathrm{~cm}^{-1}$; $\tau\left({ }^{2} \mathrm{H}_{5}\right]-$ pyridine) $8.53(3 \mathrm{H}, \mathrm{s}, 7-\mathrm{Me}), 7.97(3 \mathrm{H}, \mathrm{s}, 3-\mathrm{Me}), 7.78(3 \mathrm{H}, \mathrm{s}$, $\mathrm{Ac}), 5 \cdot 12\left(1 \mathrm{H}, \mathrm{d}, J_{1.8} 2 \cdot 0 \mathrm{~Hz}, 8-\mathrm{H}\right), c a .4 \cdot 8 \mathrm{br}\left(2 \mathrm{H}, \mathrm{D}_{2} \mathrm{O}-\right.$ exchangeable), $3 \cdot 28(1 \mathrm{H}, \mathrm{s}, 4-\mathrm{H}), 2 \cdot 26(2 \mathrm{H}, \mathrm{s}, \mathrm{HC}=\mathrm{CH}-\mathrm{CO})$, and $2.12\left(1 \mathrm{H}, \mathrm{d}, J_{1.8} 2.0 \mathrm{~Hz}, \mathrm{l}-\mathrm{H}\right)$ (Found: C, $65.4 ; \mathrm{H}, 5.9$. $\mathrm{C}_{15} \mathrm{H}_{16} \mathrm{O}_{5}$ requires $\mathrm{C}, 65 \cdot 2 ; \mathrm{H}, 5 \cdot 85 \%$ ).

Hydrogenation of Austdiol Diacetate (IV).-(a) Compound (IV) ( 380 mg ) in methanol ( 50 ml ) was hydrogenated over $10 \%$ palladium-charcoal ( 40 mg ). After 30 min (when ca. 2 mol . equiv. of $\mathrm{H}_{2}$ had been taken up), the mixture was filtered and evaporated to give a complex mixture. Preparative t.l.c. with chloroform-methanol ( $97: 3 \mathrm{v} / \mathrm{v}$ ) gave a pure fraction. Crystallization from benzene-n-hexane gave 7,8-dihydro-7,8-dihydroxy-3,5,7-trimethyl-2-benzopyran-6-one as small needles, m.p. 209-211 ${ }^{\circ},[\alpha]_{\mathrm{D}}{ }^{24}-13^{\circ}$ (c 1.59 in $\mathrm{CHCl}_{3}$ ) ; $\lambda_{\text {max. }} 235$ and $354 \mathrm{~nm}(\log \varepsilon 3.65$ and $4 \cdot 11)$; $\nu_{\text {max }}$ ( KBr ) 1750 (acetate CO ) and $1630 \mathrm{~cm}^{-1}$ (Found: C, 62.9; $\mathrm{H}, 6.1 . \quad \mathrm{C}_{16} \mathrm{H}_{18} \mathrm{O}_{6}$ requires C, $62.75 ; \mathrm{H}, 5.9 \%$ ).
(b) Austdiol diacetate (IV) ( 480 mg ) in ethyl acetate ( 25 ml ) was hydrogenated over platinum oxide ( 15 mg ) for 30 min (uptake ca. 2 mol. equiv.). Work-up as in (a) gave a yellow solid. T.l.c. in chloroform indicated the presence of a single yellow non-polar compound and a complex mixture of polar compounds. Column chromatography of the mixture on silica with chloroform as eluant gave 6-hydroxy-3,7-dimethyl-1H-2-benzopyran-5-carbaldehyde (VII) ( 64 mg ) as a yellow glass, $\lambda_{\text {max }} 251,288 \mathrm{sh}, 298$, and $402 \mathrm{~nm}(\log \varepsilon 3.92$, $3.98,3.98$, and 3.68$)$; $\nu_{\text {max. }}\left(\mathrm{CHCl}_{3}\right) 1620 \mathrm{~cm}^{-1}$; $\tau 8.02(3 \mathrm{H}, \mathrm{s}$, $7-\mathrm{Me}$ ), $7 \cdot 84(3 \mathrm{H}, \mathrm{s}, 3-\mathrm{Me}), 5 \cdot 06(2 \mathrm{H}, \mathrm{s}, \mathrm{l}-\mathrm{H}), 3 \cdot 86\left(1 \mathrm{H}, \mathrm{d}, J_{4.8}\right.$ $0.5 \mathrm{~Hz}, 4-\mathrm{H}), 3.02\left(1 \mathrm{H}, \mathrm{d}, J_{4.8} 0.5 \mathrm{~Hz}, 8-\mathrm{H}\right),-0.26(1 \mathrm{H}, \mathrm{s}$, CHO ), and $-1.98\left(1 \mathrm{H}, \mathrm{s}, \mathrm{D}_{2} \mathrm{O}\right.$-exchangeable, OH ) (Found: $M^{+}, 204 \cdot 0777 . \quad \mathrm{C}_{12} \mathrm{H}_{12} \mathrm{O}_{3}$ requires $M, 204 \cdot 0786$ ).

Bromination of Austdiol.-Austdiol (I) ( 236 mg ) in acetic acid $(10 \mathrm{ml})$ was treated with pyridinium hydrobromide perbromide ( 320 mg ). After 30 min the mixture was diluted with water ( 30 ml ) and extracted with chloroform to yield a yellow solid, which was purified by preparative t.l.c. with chloroform-methanol-acetone ( $18: 1: 1 \quad \mathrm{v} / \mathrm{v} / \mathrm{v}$ ). Crystallization from benzene-n-hexane gave 5 -bromo-7,8-dihydro-7,8-dihydroxy-3,7-dimethyl-2-benzopyran-6-one (VIII) (130 mg ) as small needles, m.p. $144-145^{\circ},[\alpha]_{\mathrm{D}}{ }^{23}+324^{\circ}(c) 1 \cdot 18$ in $\left.\mathrm{CHCl}_{3}\right) ; \lambda_{\text {max }} 229$ and $362 \mathrm{~nm}\left(\log \varepsilon 3.70\right.$ and 4.24); $\nu_{\text {max. }}$ $\left(\mathrm{CHCl}_{3}\right) 1625 \mathrm{~cm}^{-1}$ (Found: C, $46.05 ; \mathrm{H}, 4.0 ; \mathrm{Br}, 27.9$. $\mathrm{C}_{11} \mathrm{H}_{11} \mathrm{BrO}_{4}$ requires $\left.\mathrm{C}, 46.0 ; \mathrm{H}, 3.85 ; \mathrm{Br}, 27.85 \%\right)$.

Hydrogenation of the Bromide (VIII).-Compound (VIII) $(500 \mathrm{mg})$ in acetic acid ( 50 ml ) was hydrogenated over platinum dioxide ( 50 mg ). After ca. 2.5 mol . equiv. of $\mathrm{H}_{2}$
had been absorbed, the mixture was filtered, diluted with water ( 50 ml ), and extracted with chloroform to give a solid. Preparative t.l.c. with chloroform-methanol-acetone ( $18: 1: 1 \mathrm{v} / \mathrm{v} / \mathrm{v}$ ) showed two clearly defined bands which upon work-up gave starting material ( 60 mg ) and $7,8-$ dihydro-7,8-dihydroxy-3,7-dimethyl-2-benzopyran-6-one (IX) ( 37 mg ), m.p. $165-166^{\circ}$ (from benzene), $[\alpha]_{\mathrm{D}}{ }^{20}+190^{\circ}$ (c 0.26 in $\mathrm{CHCl}_{3}$ ); $\lambda_{\text {max }} 229,244$, and $349 \mathrm{~nm}(\log \varepsilon 3 \cdot 68,3 \cdot 63$, and $4 \cdot 27$ ); $\nu_{\text {max }}\left(\mathrm{CHCl}_{3}\right) 1610 \mathrm{~cm}^{-1}$ (Found: C, $63.65 ; \mathrm{H}, 5.9$. $\mathrm{C}_{11} \mathrm{H}_{12} \mathrm{O}_{4}$ requires C, $63 \cdot 45 ; \mathrm{H}, 5 \cdot 8 \%$ ).

Exhaustive Hydrogenation of Austdiol Diacetate (IV).Compound (IV) ( 1.60 g ) in acetic acid ( 50 ml ) containing platinum dioxide ( 320 mg ) was stirred in hydrogen for $36 \mathrm{~h}_{1}$ (uptake ca. 6 mol . equiv.). Work-up as before yielded an oil. T.l.c. with chloroform-methanol ( $98: 2 \mathrm{v} / \mathrm{v}$ ) indicated the presence of two major and numerous minor products. The two major products, (X) and (XIII) were isolated and purified by column chromatography with chloroform as eluant.
3,5,7-Trimethyl-6-oxoperhydro-2-benzopyran-7,8-diyl diacetate (X) ( 420 mg ) had m.p. 133-135 (from benzene-nhexane), $[\alpha]_{\mathrm{D}}{ }^{23}-33^{\circ}\left(c 1.66\right.$ in $\mathrm{CHCl}_{3}$ ); c.d. $\lambda .248,286$, and $330 \mathrm{~nm}(\Delta \varepsilon 0,+1 \cdot 57$, and 0$)$; $\nu_{\text {max }}\left(\mathrm{CHCl}_{3}\right) 1745$ (acetate CO$)$ and $1730 \mathrm{~cm}^{-1}(\mathrm{CO})$ (Found: C, $61.6 ; \mathrm{H}, 7.75 . \quad \mathrm{C}_{16} \mathrm{H}_{24} \mathrm{O}_{6}$ requires $\mathrm{C}, 61.5 ; \mathrm{H}, 7.75 \%$ ).

6-Hydroxy-3,5,7-trimethylperhydro-2-benzopyran-7,8-diyl diacetate (XIII) ( 75 mg ) had m.p. 236-237 ${ }^{\circ}$ (from benzenen -hexane), $[\alpha]_{\mathrm{D}}{ }^{24}-30^{\circ}\left(c \mathrm{l} .04\right.$ in $\left.\mathrm{CHCl}_{3}\right) ; \nu_{\text {max }}(\mathrm{KBr}) 3440$ $(\mathrm{OH})$ and $1740 \mathrm{~cm}^{-1}$ (acetate CO ) (Found: C, $61.05 ; \mathrm{H}, 8.3$. $\mathrm{C}_{16} \mathrm{H}_{26} \mathrm{O}_{6}$ requires $\mathrm{C}, 61 \cdot 15 ; \mathrm{H}, 8 \cdot 35 \%$ ).

Saponification of the Diacetate (X).-Compound (X) (140 mg ) in methanolic $0 \cdot 1 \mathrm{~N}$-potassium hydroxide was stirred for l h at room temperature. The crude product was purified by preparative t.l.c. with chloroform-methanol ( $97: 3 \mathrm{v} / \mathrm{v}$ ) to give the diol (XI) $(60 \mathrm{mg})$ as an oil, $\nu_{\text {max }}\left(\mathrm{CHCl}_{3}\right) 3600$ $(\mathrm{OH}), 3480(\mathrm{OH})$, and $1720 \mathrm{~cm}^{-1}(\mathrm{CO})$ (Found: $M^{+}, 228 \cdot 1366$. $\mathrm{C}_{12} \mathrm{H}_{20} \mathrm{O}_{4}$ requires $M, 228 \cdot 1361$ ).
Deuteriation of the Diol (XI).-Compound (XI) ( 40 mg ) in methan $\left[{ }^{2} \mathrm{H}\right]$ ol ( 5 ml ) was treated with sodium deuteroxide in $\mathrm{D}_{2} \mathrm{O}(40 \% ; 0.5 \mathrm{ml})$ and stirred at room temperature for 12 h . The mixture was acidified ( $6 \mathrm{~N}-\mathrm{HCl}$ ), diluted with water ( 10 ml ), and extracted with chloroform to give the 5 -deuterio-derivative (XII) ( 35 mg ) as an oil, $M^{+} 229$ $\left(\mathrm{C}_{12} \mathrm{H}_{19} \mathrm{DO}_{4}\right)$.
Absolute Configuration of Austdiol (I).-A solution of $\alpha$ phenylbutyric acid anhydride ( $391 \mathrm{mg}, 1.26 \mathrm{mmol}$ ) and austdiol ( $124 \mathrm{mg}, 0.525 \mathrm{mmol}$ ) in anhydrous pyridine ( 3 ml ) was stirred at room temperature for 8 h . The excess of anhydride was destroyed by adding water and leaving the mixture at room temperature for 4 h . Chloroform was added ( 15 ml ) and the solution was extracted with 6 N -sodium hydrogen carbonate ( $3 \times 10 \mathrm{ml}$ ), washed ( $6 \mathrm{~N}-\mathrm{HCl}$ ), dried $\left(\mathrm{Na}_{2} \mathrm{SO}_{4}\right)$, and evaporated. The residual ester ( 198 mg ) contained no starting material and had $M^{+} 382\left(\mathrm{C}_{22} \mathrm{H}_{22} \mathrm{O}_{6}\right)$.
The combined sodium hydrogen carbonate extracts were acidified $(6 \mathrm{~N}-\mathrm{HCl})$ and extracted with chloroform to yield $\alpha$ phenylbutyric acid ( 297 mg ), $[\alpha]_{\mathrm{D}}{ }^{23}-7 \cdot 2^{\circ}$ (c 5.94 in benzene) (theoretical $[\alpha]_{D}-25 \cdot 4^{\circ}{ }^{7}$ ). The optical yield therefore was $28.4 \%(-)$, based on an esterification yield of $100 \%$.
We thank Mr. D. L. Thompson for preparing the bulk cultures on maize meal.
[3/1188 Received, 8th June, 1973]


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